# GUIDELINE ON AUTHORSHIP AND ACKNOWLEDGING CONTRIBUTIONS TO PROJECTS, REPORTS, PRESENTATIONS & PUBLICATIONS

<table>
<thead>
<tr>
<th>Version Number</th>
<th>V3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Issue</td>
<td>December 2018</td>
</tr>
<tr>
<td>Reference No</td>
<td>AACPRPP-12-2018-CH-V3</td>
</tr>
<tr>
<td>Review Interval</td>
<td>3 yearly</td>
</tr>
</tbody>
</table>

**Approved By**
- **Name:** Fionnuala O’ Neill
- **Title:** NPDC

**Authorised By**
- **Name:** Tracey Wall
- **Title:** Director of Nursing

**Author/s**
Carol Hilliard, Nursing Practice Development Coordinator

**Location of Copies**
On Hospital Intranet and locally in department

## Document Review History

<table>
<thead>
<tr>
<th>Review Date</th>
<th>Reviewed By</th>
<th>Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>April 2021</td>
<td></td>
<td></td>
</tr>
<tr>
<td>April 2018</td>
<td>Carol Hilliard</td>
<td>Carol Hilliard</td>
</tr>
</tbody>
</table>

## Document Change History

<table>
<thead>
<tr>
<th>Change to Document</th>
<th>Reason for Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Updating of references</td>
<td>To reflect the revised ICMJE Recommendations published in 2017</td>
</tr>
</tbody>
</table>
## CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>Introduction</td>
<td>3</td>
</tr>
<tr>
<td>2.0</td>
<td>Definition of Guideline</td>
<td>3</td>
</tr>
<tr>
<td>3.0</td>
<td>Applicable to</td>
<td>3</td>
</tr>
<tr>
<td>4.0</td>
<td>Objectives of the Guideline</td>
<td>3</td>
</tr>
<tr>
<td>5.0</td>
<td>Definitions / Terms</td>
<td>3</td>
</tr>
<tr>
<td>6.0</td>
<td>Guidelines on authorship and acknowledging contributions</td>
<td>4</td>
</tr>
<tr>
<td>7.0</td>
<td>Special Considerations</td>
<td>6</td>
</tr>
<tr>
<td>8.0</td>
<td>Companion Documents</td>
<td>7</td>
</tr>
<tr>
<td>9.0</td>
<td>Implementation Plan</td>
<td>7</td>
</tr>
<tr>
<td>10.0</td>
<td>Evaluation and Audit</td>
<td>7</td>
</tr>
<tr>
<td>11.0</td>
<td>References</td>
<td>7</td>
</tr>
<tr>
<td>12.0</td>
<td>Appendices</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Appendix 1:</strong> Identifying authorship and acknowledgements on a poster presentation</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td><strong>Appendix 2:</strong> Identifying authorship and acknowledgements on an oral presentation</td>
<td>9</td>
</tr>
</tbody>
</table>
1.0 Introduction

The preparation and dissemination of the outputs* of a project† reflect the culmination of efforts and contributions made by everyone involved in the project. Authorship and acknowledgements are a means of conferring credit to individuals involved in a project (Wager and Kleinert 2011). However, ambiguity about authorship and acknowledgement of contributions to a project can undermine the integrity of the work, and impede ongoing collaboration and commitment to projects.

This document offers guidance to project leaders and project teams on the conventions for defining and crediting authorship and acknowledgements.

2.0 Definition of Guidelines

These guidelines represent the written instructions about how to credit the contribution of individuals and groups to projects, in order to ensure the quality and integrity of the outputs of those projects.

3.0 Applicable to

These guidelines are applicable to all staff involved in the supporting, planning, developing, monitoring, measuring, reporting and dissemination of projects.

4.0 Objectives of the Guidelines

The purpose of this guideline is to:

- Provide conventions to promote consistent and appropriate crediting of the contribution of staff who are involved in supporting, planning, developing, monitoring, measuring, reporting and dissemination of projects
- Provide guidance about the criteria which determines how an individual's or groups' contribution is acknowledged
- Promote ethical conduct in the preparation, reporting and dissemination of the outputs of projects
- Ensure the quality and integrity of the conduct and reporting of projects.

5.0 Definitions / Terms

**Authorship:** Conferred to those individuals who have responsibility and accountability for the work and who have made substantial practical, technical or intellectual contribution to the work (Wager and Kleinert 2011, ICMJE 2017)

**Acknowledgement:** Typically refers to individuals who supported or assisted with the project but who do not have responsibility or accountability for its outcomes or outputs (Osborne and Holland 2009, ICMJE 2017).

---

* Outputs: include the means by which the outcomes of a project are reported and disseminated. These include, but are not limited to, internal reports, posters, presentations and publications.
† Projects: in the context of this guideline, 'projects' is the general term which includes quality improvement projects, audits, and research, unless otherwise specifically mentioned.
6.0 Guidelines on authorship and acknowledging contributions

6.1 Open and Early Discussion (Wager and Kleinert 2011, ICJME 2017)
- Open discussion on authorship and acknowledgement among all individuals involved in a project is important at the planning stages and should include:
  - Identification of individuals who have responsibility and accountability for the project
  - Identification of other individuals who will support or contribute to the project but will not have responsibility or accountability for its overall outcomes
  - The expected outputs of the project, including reports, posters, presentations and publications
  - Identification of the lead and co-authors for each output
  - Identification of the order in which the authors will be listed

- Specific issues involving authorship may become more relevant at different stages in a project, and can reflect either new developments or revisited issues from an earlier discussion.
- Therefore, negotiation and the assignment of authorship is a dynamic process, rather than a fixed decision.
- All discussions about authorship should reflect a spirit of collaboration and be conducted in an egalitarian and respectful manner.
- Mere possession of an institutional position, such as Head of Department or Committee Chair, does not justify authorship credit.

6.2 Criteria for Authorship
Nursing in OLCHC has adapted its criteria for authorship from the recommendations of Osborne and Holland (2009) and The International Committee of Medical Journal Editors (2017). To be named as an author, an individual must have made a

1. Substantial practical, technical or intellectual contributions to the work, e.g. conception or design of the work; collection and processing of the data; analysis and interpretation of data;
   \textit{AND}
2. Drafting the work or revising it critically for important intellectual content;
   \textit{AND}
3. Final approval of the version to be published;
   \textit{AND}
4. Agreement to be accountable for all aspects of the work and ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

- All those designated as authors should meet these criteria, and all who meet these criteria should be identified as authors\(^\ddagger\).

\(^\ddagger\) Note: When preparing a paper for publication in a journal, be aware that individual journals may have specific requirements in relation to assignation of authorship. The members of the project team must be made aware of these specific requirements.

Nursing Practice Development Unit
Those who do not meet these criteria should be acknowledged (see Section 6.3).

These authorship criteria are intended to reserve the status of authorship for those who deserve credit and can take responsibility for the work.

All individuals who meet the first criterion should have the opportunity to participate in the review, drafting, and final approval of the output.

### 6.3 Criteria for Acknowledgement

OLCHC recognises that the success of projects is dependent on the support and input from many individuals or groups. In order to reflect this, it is important that their contribution is acknowledged.

In some instances, where a ward or unit has supported a project, it may not be feasible to list all the individuals involved. In this case, the name of the ward or unit may suffice.

Activities which warrant acknowledgement include:

- Supporting a project, e.g. Head of Department
- Acquiring funding for a project
- Review and feedback on tools, instruments and questionnaires etc
- Pilot testing of tools, instruments, questionnaires etc
- Collection of data, not including the processing, analysis or interpretation of the data
- Proof-reading
- Editing, including technical editing and language editing *(Note: significant rewriting may qualify for authorship under Criterion No 2 in Section 6.2 above)*
- Advising on technical or clinical content

*Note: this list is not exhaustive*

### 6.4 The Order of Authors

There are no definitive guidelines on the order in which authors should be listed, as this can vary according to:

- the project itself
- the element of the project which is being reported and
- the forum in which the output is being published or presented.

The order of authors is a collective decision of the authors or study group, and must be based on open and honest discussion at the early stages of a project (Section 6.1).

**Lead Author:** The first author is usually the person who has been foremost involved in the implementation of the project or the conduct of the research. The lead author is ultimately responsible for ensuring that all other authors meet the requirements for
authorship as well as ensuring the integrity of the work itself. The lead author will usually serve as the corresponding author.

Co-Author(s): Each co-author is responsible for considering his or her role in the project and whether that role merits attribution of authorship. Co-authors should review and approve the manuscript, at least as it pertains to their roles in the project.

6.5 How to identify contributions to a project on an abstract, poster, presentation or paper
The layout of authors and acknowledgements will depend on the type of document or media through which the information is being presented, e.g. journals will outline the specific way to include acknowledgements and may ask for authors to identify their specific contribution to the project.

However, there are general principles which can be adhered to. Appendix 1 presents examples of how authors can be identified and differentiated from those individuals who should be acknowledged.

6.6 Promoting Ethical Conduct
The determination of authorship and acknowledgements is an important aspect of the ethical conduct of a project. It is a measure of the integrity of a project and its outputs.

6.6.1 Ethical practices in relation to authorship
Certain activities in relation to the assignation of authorship which are deemed to be unethical include:

- **Ghost writing:** the use of an individual who writes all or significant portions of an output, who is not subsequently included as an author. In this instance, the individual may qualify to be listed as an author under Criterion 2 in Section 6.2 above.

To avoid the scenario of ghost writing which is not acknowledged or identified as authorship, if an individual is asked to write all or a substantial portion of the paper etc, they should be involved from the inception of the project.

- **Honorary or Guest Authorship:** the inclusion of individual based on the role or post they hold, e.g. committee member or chair, department head

- **Gift Authorship:** the inclusion of a high profile individual for the purpose of increasing the credibility of a project and its likelihood of publication

6.6.2 Duplication of submission or publication of the outputs of a project
Duplication of research findings in presentations or publications is considered unethical because it could result in distorting the available evidence by double-counting the findings. Duplication of work in this manner also has copyright implications. An exception to this is an 'Acceptable Secondary Publication' (see section 6.6.3)
**Duplicate submission**: Submitting a paper to two or more journals at the same time.

**Duplicate publication**: Duplicate publication is publication of a paper that overlaps substantially with one already published, without clear, visible reference to the previous publication.

### 6.6.3 Acceptable Secondary Publication *(ICMJE 2017)*

Secondary publication of material published in other journals or online may be justifiable and beneficial, especially when intended to disseminate important information to the widest possible audience. If this is done, it must have the approval of the editors of both journals. Additional conditions which must be met when considering a secondary publication include:

1. The paper for secondary publication is intended for a different group of readers
2. The secondary version faithfully reflects the data and interpretations of the primary version.
3. The secondary version informs readers, peers, and documenting agencies that the paper has been published in whole or in part elsewhere—for example, with a note that might read, "This article is based on a study first reported in the [journal title, with full reference]"—and the secondary version cites the primary reference.
4. The title of the secondary publication should indicate that it is a secondary publication of a primary publication.

### 7.0 Special Consideration

- Specific issues involving authorship may become more relevant at different stages in a project, and can reflect either new developments or revisited issues from an earlier discussion. Therefore, negotiation and the assignation of authorship is a dynamic process, rather than a fixed decision.

### 8.0 Companion Documents

- Poster and oral presentation templates for displaying authorship and acknowledgements in the outputs of a project (Appendix 1)

### 9.0 Implementation Plan

#### Communication and Dissemination

- Guidelines will be posted on hospital intranet and internet sites
- Email notification of the release of the guideline will be sent to all CNMs, CNFs and CNSs

#### Training

- Support and education will be given to staff who are involved in projects through which they will be preparing reports, posters, presentations, papers etc.
10.0 Evaluation and Audit

- This will include feedback and evaluation from nursing staff on the guidelines to contribute to ongoing guideline development

11.0 References


© OLCHC 2018
12.0 Appendices

Appendix 1: Identifying authorship and acknowledgements on a poster presentation

Note: This is an example. Other formats can be used depending on the type of poster and the forum at which it is being presented.
Appendix 2: Identifying authorship and acknowledgements on an oral presentation

Note: This is an example. Other formats can be used depending on the type of presentation and the forum at which it is being presented

Slide 1: Opening slide with names of authors

---

Acknowledgements

The project team would like to acknowledge the support and assistance of the following, without whom this project would not have been possible:

- List the name(s) of wards/units
- List the name(s) of an individual or group
- Etc
- Etc

Slide 2 or last slide of presentation: Acknowledgements